

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) <input checked="" type="checkbox"/> Declaration Submitted With Initial Filing OR <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	Attorney Docket Number	10021.002510 (P0309)
	First Named Inventor	Alexander P. Payne
	<i>COMPLETE IF KNOWN</i>	
	Application Number	not yet known
	Filing Date	
	Art Unit	not yet known
Examiner Name		not yet known

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

DIFFRACTIVE LIGHT MODULATOR

the specification of which *(Title of the Invention)*

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY) as United States Application Number or PCT International

Application Number and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 2]

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DECLARATION — Utility or Design Patent Application

Direct all correspondence to: ☒ Customer Number or Bar Code Label 000031894 OR ☐ Correspondence address below

Name

Address

City

State

ZIP

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name Alexander P.
 (first and middle [if any])

Family Name Payne
 or Surname

Inventor's
 Signature

Alexander P. Payne

Date

3/24/04

Residence: City

State

Country

Citizenship

Ben Lomond

CA

US

US

Mailing Address

707 Ridge Rd.
~~9467 Sunnyside Avenue~~

City

State

Zip

Country

Ben Lomond

CA

95005

US

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name Michael J.
 (first and middle [if any])

Family Name Dueweke
 or Surname

Inventor's
 Signature

Michael J. Dueweke

Date

3/24/04

Residence: City

State

Country

Citizenship

Santa Clara

CA

US

US

Mailing Address

1730 Halford Ave., #345 *#359*

City

State

Zip

Country

Santa Clara

CA

95051

US

☒

Additional inventors or a legal representative are being named on the 2 supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.

DECLARATION**ADDITIONAL INVENTOR(S)
Supplemental Sheet**

Page 1 of 2

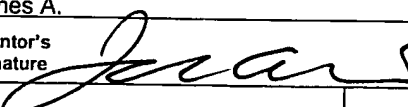
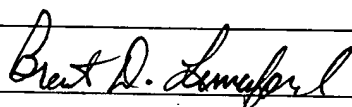
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Wilhelmus		De Groot	
Inventor's Signature <i>Wilhelmus De Groot</i>		Date <i>03/24/04</i>	
Residence: City	Palo Alto	State	CA
		Country	US
Mailing Address 102 Walter Hays Drive			
City	Palo Alto	State	CA
		ZIP	94303
		Country	US
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
David T.		Amm	
Inventor's Signature <i>David Amm</i>		Date <i>May 24/04</i>	
Residence: City	Sunnyvale	State	CA
		Country	US
		Citizenship	CANADA
Mailing Address 532 Crawford Drive			
City	Sunnyvale	State	CA
		Zip	94087
		Country	US
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Omar S.		Leung	
Inventor's Signature <i>Omar Leung</i>		Date <i>3/24/2004</i>	
Residence: City	Palo Alto	State	CA
		Country	US
		Citizenship	US
Mailing Address 2575 Park Blvd., Bldg.S-200			
City	Palo Alto	State	CA
		Zip	94306
		Country	US

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DECLARATION**ADDITIONAL INVENTOR(S)
Supplemental Sheet**

Page 2 of 2

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
James A.		Hunter	
Inventor's Signature 		Date 3/23/04	
Residence: City	Campbell	State	CA
		Country	US
Citizenship US			
Mailing Address 253 Victor Avenue			
City	Campbell	State	CA
		ZIP	95008
		Country	US
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Brent D.		Lunceford	
Inventor's Signature 		Date 3/23/04	
Residence: City	Austin	State	TX
		Country	US
Citizenship US			
Mailing Address 10601 Scotland Well Drive			
City	Austin	State	TX
		Zip	78750
		Country	US
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Gregory		Beach	
Inventor's Signature		Date	
Residence: City	Georgetown	State	TX
		Country	US
Citizenship US			
Mailing Address 2016 N. CR 122			
City	Georgetown	State	TX
		Zip	78626
		Country	US

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Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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(Page 1 of 2)

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DECLARATION — Utility or Design Patent Application

Direct all correspondence to: ☒ Customer Number or Bar Code Label 000031894 OR ☐ Correspondence address below

Name

Address

City

State

ZIP

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name Alexander P.
(first and middle [if any])

Family Name Payne
or Surname

Inventor's
Signature

Date

Residence: City

State

Country

Citizenship

Ben Lomond

CA

US

US

Mailing Address

9467 Sunnyside Avenue

City

State

Zip

Country

Ben Lomond

CA

95005

US

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name Michael J.
(first and middle [if any])

Family Name Dueweke
or Surname

Inventor's
Signature

Date

Residence: City

State

Country

Citizenship

Santa Clara

CA

US

US

Mailing Address

1730 Halford Ave., #345

City

State

Zip

Country

Santa Clara

CA

95051

US

☒ Additional inventors or a legal representative are being named on the 2 supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.

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DECLARATION**ADDITIONAL INVENTOR(S)
Supplemental Sheet**

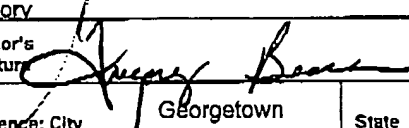
Page 1 of 2

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Wilhelmus		De Groot	
Inventor's Signature		Date	
Residence: City	Palo Alto	State	CA
		Country	US
		Citizenship	
		US	
Mailing Address 102 Walter Hays Drive			
City	Palo Alto	State	CA
		ZIP	94303
		Country	
		US	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
David T.		Amm	
Inventor's Signature		Date	
Residence: City	Sunnyvale	State	CA
		Country	US
		Citizenship	
		CANADA	
Mailing Address 532 Crawford Drive			
City	Sunnyvale	State	CA
		Zip	94087
		Country	
		US	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Omar S.		Leung	
Inventor's Signature		Date	
Residence: City	Palo Alto	State	CA
		Country	US
		Citizenship	
		US	
Mailing Address 2575 Park Blvd., Bldg.S-200			
City	Palo Alto	State	CA
		Zip	94306
		Country	
		US	

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DECLARATION	ADDITIONAL INVENTOR(S) Supplemental Sheet
Page 2 of 2	

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle if any)		Family Name or Surname	
James A.		Hunter	
Inventor's Signature		Date	
Residence: City	Campbell	State	CA
		Country	US
		Citizenship	
		US	
Mailing Address 253 Victor Avenue			
City	Campbell	State	CA
		ZIP	95008
		Country	US
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle if any)		Family Name or Surname	
Brent D.		Lunceford	
Inventor's Signature		Date	
Residence: City	Austin	State	TX
		Country	US
		Citizenship	
		US	
Mailing Address 10601 Scotland Well Drive			
City	Austin	State	TX
		Zip	78750
		Country	US
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle if any)		Family Name or Surname	
Gregory		Beach	
Inventor's Signature		Date	
		3/24/2004	
Residence: City	Georgetown	State	TX
		Country	US
		Citizenship	
		US	
Mailing Address 2016 N. CR 122			
City	Georgetown	State	TX
		Zip	78626
		Country	US

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POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM

Application Number	not yet known
Filing Date	
First Named Inventor	Alexander P. Payne
Art Unit	not yet known
Examiner Name	not yet known
Attorney Docket Number	10021.002510 (P0309)

I hereby appoint:

☒ Practitioners at Customer Number

000031894

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☒ The above-mentioned Customer Number..

OR

☐ The address associated with Customer Number:

OR

☐ Firm or
Individual Name

Address

Address

City

State

ZIP

Country

Telephone

Fax

I am the:

☒ Applicant/Inventor.☐ Assignee of record of the entire interest. See 37 CFR 3.71.

Certificate under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name Alexander P. Payne

Signature

Date

3/24/04

Telephone

831-345-5084

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 8 forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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and
CORRESPONDENCE ADDRESS
INDICATION FORM**

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Filing Date	
First Named Inventor	Alexander P. Payne
Art Unit	not yet known
Examiner Name	not yet known
Attorney Docket Number	10021.002510 (P0309)

I hereby appoint:

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☐ Practitioner(s) named below:

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OR

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OR

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Individual Name

Address

Address

City

State

ZIP

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Fax

I am the:

☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.

Certificate under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name Michael J. Dueweke

Signature

Date

Telephone

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Name Wilhelmus De Groot

Signature

Wilhelmus De Groot

Date

3/24/2004

Telephone

408 541 4989

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and
CORRESPONDENCE ADDRESS
INDICATION FORM**

Application Number	not yet known
Filing Date	
First Named Inventor	Alexander P. Payne
Art Unit	not yet known
Examiner Name	not yet known
Attorney Docket Number	10021.002510 (P0309)

I hereby appoint:

☒ Practitioners at Customer Number

000031894

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

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ZIP

Country

Telephone

Fax

I am the:

☒ Applicant/Inventor.☐ Assignee of record of the entire interest. See 37 CFR 3.71.

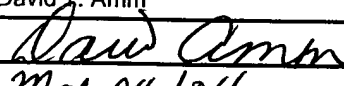
Certificate under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name

David T. Amm

Signature



Date

Mar 24 / 04

Telephone

408-400-2030

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

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SIGNATURE of Applicant or Assignee of Record

Name Omar S. Leung

Signature

Date

Telephone

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SIGNATURE of Applicant or Assignee of Record

Name James A. Hunter

Signature

Date

3/23/04

Telephone

408 374 8382

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☐ Assignee of record of the entire interest. See 37 CFR 3.71.

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SIGNATURE of Applicant or Assignee of Record

Name Brent D. Lunceford

Signature

Date

3-23-04

Telephone

512-249-8670

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SIGNATURE of Applicant or Assignee of Record

Name Gregory Beach

Signature 

Date

March 24, 2004

Telephone

512-238-9754

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